Dynamics of Particles in Non Scaling Fixed Field Alternating Gradient Accelerators

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Non scaling Fixed-Field Alternating Gradient (FFAG) accelerators have an unprecedented potential for muon acceleration, as well as for medical purposes based on carbon and proton hadron therapy. They also represent a possible active element for an Accelerator Driven Subcritical Reactor (ADSR). Starting from first principle the Hamiltonian formalism for the description of the dynamics of particles in non-scaling FFAG machines has been developed. The stationary reference (closed) orbit has been found within the Hamiltonian framework. The dependence of the path length on the energy deviation has been described in terms of higher order dispersion functions. The latter have been used subsequently to specify the longitudinal part of the Hamiltonian. It has been shown that higher order phase slip coefficients should be taken into account to adequately describe the acceleration in non-scaling FFAG accelerators. A complete theory of the fast (serpentine) acceleration in non-scaling FFAGs has been developed. An example of the theory is presented for the parameters of the Electron Machine with Many Applications (EMMA), a prototype electron non-scaling FFAG to be hosted at Daresbury Laboratory.

1 Introduction

Fixed-Field Alternating Gradient (FFAG) accelerators were proposed half century ago [1–4], when acceleration of electrons was first demonstrated. These machines, which were intensively studied in the 1950s and 1960s but never progressed beyond the model stage, have in recent years become the focus of renewed attention. Acceleration of protons has been recently achieved at the KEK Proof-of-Principle (PoP) proton FFAG [5].

To avoid the slow crossing of betatron resonances associated with a typical low energy-gain per turn, the first FFAGs designed and constructed so far have been based on the “scaling” principle. The latter implies that the orbit shape and betatron tunes must be kept fixed during the acceleration process. Thus, magnets must be built with constant field index, while in the case of spiral-sector designs the spiral angle must be constant as well. Machines of this type use conventional magnets with the bending and focusing field being kept constant during acceleration. The latter alternate in sign, providing a more compact radial extension and consequently smaller aperture as compared to the AVF cyclotrons. The ring essentially consists of a sequence of short cells with very large periodicity.

Non scaling FFAG machines have until recently been considered as an alternative. The bending and the focusing is provided simultaneously by focusing and defocusing quadrupole magnets repeating in an alternating sequence. There is a number of advantages of the non-scaling FFAG lattice as compared to the scaling one, among which are the relatively small transverse magnet aperture (tending to be much smaller than the one for scaling machines) and the lower field strength. Unfortunately this lattice leads to a large betatron tune variation across the required energy range for acceleration as opposed to the scaling lattice. As a consequence several resonances are crossed during the acceleration cycle, some of them nonlinear created by the magnetic field imperfections, as well as half-integer and integer ones. A possible bypass to this problem is the rapid acceleration (of utmost importance for muons), which allows betatron resonances no time to essentially damage beam quality.

Because non-scaling FFAG accelerators have otherwise very desirable features, it is important to investigate analytically and numerically some of the peculiarities of the beam dynamics, the new type of fast acceleration regime (so-called serpentine acceleration) and the effects of crossing of linear as well as nonlinear resonances. Moreover, it is important to examine the most favorable phase at which the cavities need to be set for the optimal acceleration. Some of these problems will be discussed in the present paper.

An example of the theory developed here is presented for the parameters of the Electron Machine with Many Applications (EMMA) [6], a prototype electron non-scaling FFAG to be hosted at Daresbury Laboratory. The Accelerators and Lasers In Combined Experiments (ALICE) accelerator [7] is used as an injector to the EMMA ring. The energy delivered by this injector can vary from a 10 to 20 MeV single bunch train with a bunch charge of 16 to 32 pC at a rate of 1 to 20
Hz. ALICE is presently designed to deliver bunches which are around 4 ps and 8.35 MeV from the exit of the booster of its injector line. These are then accelerated to 10 or 20 MeV in the main ALICE linac after which they are sent to the EMMA injection line. The EMMA injection line ends with a septum for injection into the EMMA ring itself followed by two kickers so as to direct the beam onto the correct, energy dependent, trajectory. After circulation in the EMMA ring, the electron bunches are extracted using what is almost a mirror image of the injection setup with two kickers followed by an extraction septum. The beam is then transported to a diagnostic line whose purpose it is to analyze in as much detail as possible the effect the non-scaling FFAG has had on the bunch.

The paper is organized as follows. Firstly, we review some generalities and first principles of the Hamiltonian formalism [8–10] suitably modified to cover the case of a non-scaling FFAG lattice. Firstly, a sequence of canonical transformations within the synchrobetatron framework is applied to determine the energy dependent reference orbit. Stability of motion about the stationary reference orbit is described in terms of betatron oscillations with energy dependent Twiss parameters and betatron tunes. Dispersion, measuring the effect of energy variation on the path length along the reference orbit is an essential feature of non-scaling FFAGs. Within the synchrobetatron framework is applied for the calculations of the reference orbit and phase stability are detailed in the appendices.

2 Generalities and first principles

Let the ideal (design) trajectory of a particle in an accelerator be a planar curve with curvature $K$. The Hamiltonian describing the motion of a particle in a natural coordinate system attached to the orbit thus defined is [8]:

\[ H = - (1 + K x) \times \]
\[ \sqrt{\frac{(H - qE)^2}{c^2} - m_0^2 c^2 - (P_x - qA_x)^2 - (P_z - qA_z)^2} - q(1 + K x) A_z, \]  

(1)

where $m_0$ is the rest mass of the particle. The guiding magnetic field can be represented as a gradient of a certain function $\psi(x, z)$

\[ B = \nabla \psi, \]

(2)

where the latter satisfies the Laplace equation

\[ \nabla^2 \psi = 0. \]

(3)

Using the median symmetry of the machine, it is straightforward to show that $\psi$ can be written in the form

\[ \psi = \left( a_0 + a_1 x + \frac{a_2 x^2}{2!} + \ldots \right) z - \}
\[ - \left( b_0 + b_1 x + \frac{b_2 x^2}{2!} + \ldots \right) \frac{z^3}{3!} + (c_0 + c_1 x + \ldots) \frac{z^5}{5!} + \ldots \]  

(4)

Inserting the above expression into the Laplace equation (3), one readily finds relations between the coefficients $b_k$ and $c_k$ on one hand and $a_k$ on the other

\[ b_0 = a_0' + Ka_1 + a_2, \]

(5)

\[ b_1 = -2 Ka_0' - K' a_0' + a_0'' - K^2 a_1 + Ka_2 + a_3, \]

(6)

\[ b_2 = 6 K^2 a_0'' + 6 K K' a_0' - 4 K a_0'' - 2 K' a_1' + a_0'' + 2 K' a_1 - 2 K^2 a_2 - Ka_3 + a_4, \]

(7)

\[ c_0 = b_0'' + K b_1 + b_2. \]

(8)

Prime in the above expressions implies differentiation with respect to the longitudinal coordinate $s$. The coefficients $a_k$ have a very simple meaning

\[ a_0 = (B_z)_{x, z = 0}, \quad a_1 = \left( \frac{\partial B_z}{\partial x} \right)_{x, z = 0}, \]

(9)

\[ a_2 = \left( \frac{\partial^2 B_z}{\partial x^2} \right)_{x, z = 0}. \]

(10)

In other words, this implies that, provided the vertical component $B_z$ of the magnetic field and its derivatives with respect to the horizontal coordinate $x$ are known in the median plane, one can in principle reconstruct the entire field chart.

The vector potential $A$ can be represented as

\[ A_x = - z \overline{F}(x, z; s), \quad A_z = x \overline{F}(x, z; s), \quad A_t = \overline{G}(x, z; s), \]

(11)

where the Poincaré gauge condition

\[ x A_x + z A_z = 0, \]

(12)

written in the natural coordinate system has been used. From Maxwell’s equation

\[ B = \nabla \times A, \]

(13)

we obtain

\[ 2 \overline{F} + (x \partial_x + z \partial_z) \overline{F} = B_x, \]

(14)

\[ \frac{K x}{1 + K x} \overline{G} + (x \partial_x + z \partial_z) \overline{G} = z B_x - x B_z. \]

(15)

Applying Euler’s theorem for homogeneous functions, we can write

\[ \overline{F} = \frac{1}{2} B_s^{(0)} + \frac{1}{3} B_s^{(1)} + \frac{1}{4} B_s^{(2)} + \ldots, \]

(16)
\[\mathcal{G}_u = \left(1 + \frac{Kx}{2}\right) B_u^{(0)} + \left(1 + \frac{2 + Kx}{3}\right) B_u^{(1)} + \left(1 + \frac{Kx}{4}\right) B_u^{(2)} + \ldots,\]

\[\mathcal{G} = \frac{z\mathcal{G}_x - x\mathcal{G}_z}{1 + Kx}.\]  

(17)  

(18)  

Here \(u = (x, z)\) and \(B_u^{(k)}\) denotes homogeneous polynomials in \(x\) and \(z\), corresponding to the components of the magnetic field \(B = (B_x, B_y, B_z)\). Thus, having found the magnetic field represented by equation (4), it is straightforward to calculate the vector potential \(A\).

The accelerating field in AVF cyclotrons and FFAG machines can be represented by a scalar potential \(\varphi\) (the corresponding vector potential \(A = 0\)). Due to the median symmetry, we have

\[\varphi = A_0 + A_1 x + \frac{A_2 x^2}{2!} + \ldots = \left(B_0 + B_1 x + B_2 x^2 \frac{3}{2!} + \ldots\right) z^2 + (C_0 + C_1 x + \ldots) z^4 + \ldots\]  

(19)

Inserting the above expansion into the Laplace equation for \(\varphi\), we obtain similar relations between \(B_1\) and \(C_1\) on one hand and \(A_2\) on the other, which are analogous to those relating \(b_3\), \(c_3\), and \(a_4\).

We consider the canonical transformation, specified by the generating function

\[S_2(x, z, T, E, \tilde{P}_x, \tilde{P}_z, E; s) = x \tilde{P}_x + z \tilde{P}_z + T E + q \int dT \varphi(x, z, T; s),\]

(20)

where

\[T = -t\]

(21)

is a canonical variable canonically conjugate to \(H\). The relations between the new and the old variables are

\[\tilde{u} = \frac{\partial S_2}{\partial \tilde{P}_u}, \quad u = (x, z), \quad \tilde{T} = \frac{\partial S_2}{\partial E} = T,\]

(22)

\[P_u = \frac{\partial S_2}{\partial \tilde{u}} = \tilde{P}_u - q \int dT E_u(x, z, T; s) = \tilde{P}_u - q \tilde{E}_u(x, z, T; s), \quad E_u = -\frac{\partial \varphi}{\partial u},\]

(23)

\[H = \frac{\partial S_2}{\partial \tilde{T}} = E + q \varphi(x, z, T; s) = m_p y c^2 + q \varphi(x, z, T; s).\]

(24)

The new Hamiltonian acquires now the form

\[\tilde{H} = -(1 + Kx) \times\]

\[\sqrt{\frac{E^2}{c^2} - m_p^2 c^2 - (\tilde{P}_z - q\tilde{E}_z - qA_z)^2} - q(1 + Kx)(A_z + \tilde{E}_z),\]

(25)

We introduce the new scaled variables

\[\tilde{\rho}_u = \frac{\tilde{P}_u}{p_0}, \quad \Theta = cT, \quad \gamma = \frac{E}{E_p} = \frac{E}{m_p c^2}.\]

(27)

The new scaled Hamiltonian can be expressed as

\[\tilde{H} = \tilde{H}_0(1 + Kx) \times\]

\[\sqrt{\gamma^2 - 1 - (\tilde{P}_z - q\tilde{E}_z - qA_z)^2} - (\tilde{P}_z - q\tilde{E}_z - qA_z)^2 - q(1 + Kx)(A_z + \tilde{E}_z),\]

(28)

where

\[\tilde{\rho}_u = \tilde{\rho}_u(1 + Kx) \times\]

\[\sqrt{\gamma^2 - 1 - (\tilde{P}_z - q\tilde{E}_z - qA_z)^2} - (\tilde{P}_z - q\tilde{E}_z - qA_z)^2 - q(1 + Kx)(A_z + \tilde{E}_z),\]

(30)

where now

\[\tilde{\rho}_u = \frac{q}{\beta \gamma p_0}, \quad \tilde{\rho}_u = \frac{\tilde{P}_u}{p_0} = \frac{\tilde{P}_u}{\beta \gamma p_0}, \quad u = (x, z).\]

(31)

Since \(P_u\) and \(u\) are small deviations, we can expand the square root in power series in the canonical variables \(x, \tilde{P}_x\) and \(z, \tilde{P}_z\). Tiduous algebra yields

\[\tilde{H} = \tilde{H}_0 + \tilde{H}_1 + \tilde{H}_2 + \tilde{H}_3 + \tilde{H}_4 + \ldots,\]

(32)

\[\tilde{H}_0 = -\beta \gamma - \tilde{q}(1 + Kx) \tilde{E}_z,\]

(33)

\[\tilde{H}_1 = \beta \gamma (\tilde{q}_0 - K) x,\]

(34)

\[\tilde{H}_2 = \beta \gamma \left(\tilde{P}_x^2 + \tilde{P}_z^2\right) + \tilde{q}\left[(K a_0 + a_1) x^2 - a_1 z^2\right],\]

(35)

\[\tilde{H}_3 = \beta \gamma \left(\frac{x}{3} \tilde{P}_x^2 + \tilde{P}_z^2\right) + \tilde{q}\left[(K a_0 + a_1) x^2 - a_1 z^2\right].\]
each time the axes of the coordinate system by the polygon. To describe step by step the fraction of the reference orbit, it is convenient to use a global Cartesian coordinate system whose origin is located in the center of the stone of the synchrobetatron formalism. For instance, \( \tilde{H}_0 \) governs the longitudinal motion, \( \tilde{H}_1 \) describes linear coupling between longitudinal and transverse degrees of freedom and is the basic source of dispersion. The part \( \tilde{H}_2 \) is responsible for linear betatron motion and chromaticity, while the remainder describes higher order contributions.

3 The synchro-betatron formalism and the reference orbit

In the present paper we consider a FFAG lattice with polygonal structure. To define and subsequently calculate the stationary reference orbit, it is convenient to use a global Cartesian coordinate system whose origin is located in the center of the polygon. To describe step by step the fraction of the reference orbit related to a particular side of the polygon, we rotate each time the axes of the coordinate system by the polygon angle \( \Theta_p = 2\pi/N_L \), where \( N_L \) is the number of sides of the polygon.

Let \( X_s \) and \( P_e \) denote the reference orbit and the reference momentum, respectively. The vertical component of the magnetic field in the median plane of a perfectly linear machine can be written as

\[
B_s(X_s; s) = a_0(X_s; s)X_s - \frac{L_p}{2\tan(\Theta_p/2)}.
\]  

Here \( L_p \) is the length of the polygon side which actually represents the periodicity parameter of the lattice. Usually \( X_s \) is related to an arbitrary energy in the range from injection to extraction energy. In the case of EMMA it is related to the 15 MeV orbit. The quantity \( d(s) \) in equation (39) is the relative offset of the magnetic center in the quadrupoles with respect to the corresponding side of the polygon. In what follows [see equations (49) and (52)] \( d_F \) corresponds to the offset in the focusing quadrupoles and \( d_D \) corresponds to the one in the defocusing quadrupoles. Similarly, \( a_F \) and \( a_D \) stand for the particular value of \( a_1 \) in the focusing and the defocusing quadrupoles, respectively.

A design (reference) orbit corresponding to a local curvature \( K(X_s; s) \) can be defined according to the relation

\[
K(X_s; s) = \frac{q}{\beta_0\gamma_e K_s},
\]

where \( \gamma_e \) is the energy of the reference particle. In terms of the reference orbit position \( X_s(s) \), the equation for the curvature can be written as

\[
x''_s = \frac{q}{\beta_0\gamma_e K_s} (1 + X_s^2)^{3/2} B_s(X_s; s),
\]

where the prime implies differentiation with respect to \( s \).

To proceed further, we notice that equation (42) parameterizing the local curvature can be derived from an equivalent Hamiltonian

\[
H_s(X_s, P; s) = -\sqrt{P_e^2 + P^2} - q \int dX_s B_s(X_s; s). \tag{43}
\]

Taking into account Hamilton’s equations of motion

\[
P_e = \frac{\beta_e \gamma_e X'_s}{\sqrt{1 + X'^2_s}}, \quad P'_e = qB_s(X_s; s), \tag{44}
\]

and using the relation

\[
P_e = \frac{\beta_e \gamma_e X'_s}{\sqrt{1 + X'^2_s}}. \tag{45}
\]

we readily obtain equation (42). Note also that the Hamiltonian (43) follows directly from the scaled Hamiltonian (28) with \( s = 0, \) \( \bar{P}_s = P_e, \) \( P_z = 0, \) \( A_s = A_z = 0 \) and the accelerating cavities being switched off respectively.

Hamilton’s equations of motion (44) can be linearized and subsequently solved approximately by assuming that

\[
P_e \ll \beta_e \gamma_e. \tag{46}
\]

Thus, assuming electrons \( (q = -e) \), we have

\[
P_e = \beta_e \gamma_e X'_s, \quad X''_s = -\frac{e a_1(s)}{p_0 \beta_e \gamma_e} (X_s - X_s - d(s)). \tag{47}
\]

The three types of solutions to equations (47) are as follows:

**Drift Space**

\[
X_s = X_0 + \frac{P_0}{\beta_e \gamma_e} (s - s_0), \quad P_e = P_0, \tag{48}
\]

where \( X_0 \) and \( P_0 \) are the initial position and reference momentum and \( s \) is the distance in longitudinal direction.

**Focusing Quadrupole**

\[
X_s = X_s + d_F + (X_0 - X_s - d_F) \cos \omega_F (s - s_0) + \frac{P_0}{\beta_e \gamma_e \omega_F} \sin \omega_F (s - s_0), \tag{49}
\]

James K. Jones, et al. Dynamics of Particles in Non Scaling Fixed Field Alternating Gradient Accelerators 75
\[ P_e = -\beta_e \gamma_e \omega_P (X_0 - X_e - d_f) \sin \omega_P (s - s_0) + P_0 \cos \omega_P (s - s_0), \tag{50} \]

where
\[ \omega_e^2 = \frac{ea_e}{p_0 \beta_e \gamma_e}. \tag{51} \]

**Defocusing Quadrupole**

\[ X_e = X_e + d_D + (X_0 - X_e - d_D) \cosh \omega_D (s - s_0) + \frac{P_0}{\beta_e \gamma_e \omega_D} \sinh \omega_D (s - s_0), \tag{52} \]

\[ P_e = \beta_e \gamma_e \omega_D (X_0 - X_e - d_D) \sinh \omega_D (s - s_0) + P_0 \cos \omega_D (s - s_0), \tag{53} \]

where
\[ \omega_D^2 = \frac{ea_D}{p_0 \beta_e \gamma_e}. \tag{54} \]

In addition to the above, the coordinate transformation at the polygon bend when passing to the new rotated coordinate system needs to be specified. The latter can be written as
\[ X_e = X_e + \frac{X_0 - X_e}{\cos \Theta_\phi - P_0 \sin \Theta_\phi / \beta_e \gamma_e}, \tag{55} \]

\[ P_e = \beta_e \gamma_e \tan \left[ \Theta_\phi + \arctan \left( \frac{P_0}{\beta_e \gamma_e} \right) \right]. \]

Once the reference trajectory has been found the corresponding contributions to the total Hamiltonian (32) can be written as follows

\[ \tilde{H}_0 = -\beta \gamma + \frac{Z}{AE_e} \left( \frac{d\Delta E}{ds} \right) \int d\Theta \sin \phi(\Theta), \tag{57} \]

\[ \tilde{H}_1 = -(\beta \gamma - \beta_e \gamma_e) K \hat{x}, \tag{58} \]

\[ \tilde{H}_2 = \frac{1}{2 \beta \gamma} \left( \tilde{p}_x^2 + \tilde{p}_z^2 \right) + \frac{1}{2} \left[ \left( \gamma + \beta_e \gamma_e \right) K \right] \hat{x}^2 - g \hat{z}^2, \tag{59} \]

\[ \tilde{H}_3 = \frac{K \hat{x}}{2 \beta \gamma} \left( \tilde{p}_x^2 + \tilde{p}_z^2 \right) + \frac{K g_e}{6} \left( 2 \hat{x}^2 - 3 \hat{z}^2 \right), \tag{60} \]

\[ \tilde{H}_4 = \frac{\left( \tilde{p}_x^2 + \tilde{p}_z^2 \right)^2}{8 \beta \gamma^2} - \frac{K^2 g_e}{24} \hat{z}^4. \tag{61} \]

Here, we have introduced the following notation
\[ g = \frac{qa_1}{p_0}. \tag{62} \]

Moreover, \( Z \) is the charge state of the accelerated particle, \( A \) is the mass ratio with respect to the proton mass in the case of ions, and \( \phi(\Theta) \) is the phase of the RF. For a lepton accelerator like EMMA, \( A = Z = 1 \). In addition, \( d\Delta E / ds \) is the energy gain per unit longitudinal distance \( s \), which in thin lens approximation scales as \( \Delta E / \Delta s \), where \( \Delta s \) is the length of the cavity. It is convenient to pass to new scaled variables as follows
\[ \tilde{p}_x = \frac{p_x}{\beta \gamma}, \quad h = \frac{\gamma}{\beta \gamma}, \tag{63} \]

\[ \tau = \beta_e \Theta, \quad \Gamma_e = \beta \gamma = \sqrt{\beta^2 \gamma^2 - \frac{1}{\beta \gamma}}. \tag{64} \]

Thus, expressions (57)–(61) become

\[ \tilde{H}_0 = -\Gamma_e + \frac{Z}{A \beta \gamma E_e} \left( \frac{d\Delta E}{ds} \right) \int d\Theta \sin \phi(\Theta), \tag{65} \]

\[ \tilde{H}_1 = -\left( \Gamma_e - 1 \right) K \hat{x}, \tag{66} \]

\[ \tilde{H}_2 = \frac{1}{2 \beta \gamma} \left( \tilde{p}_x^2 + \tilde{p}_z^2 \right) + \frac{1}{2} \left[ \left( g_e + K^2 \right) \hat{x}^2 - g_e \hat{z}^2 \right], \tag{67} \]

\[ \tilde{H}_3 = \frac{K \hat{x}}{2 \beta \gamma} \left( \tilde{p}_x^2 + \tilde{p}_z^2 \right) + \frac{K g_e}{6} \left( 2 \hat{x}^2 - 3 \hat{z}^2 \right), \tag{68} \]

\[ \tilde{H}_4 = \frac{\left( \tilde{p}_x^2 + \tilde{p}_z^2 \right)^2}{8 \beta \gamma^2} - \frac{K^2 g_e}{24} \hat{z}^4. \tag{69} \]

\[ E_p = m_p c^2, \quad g_e = \frac{g}{\beta_e \gamma_e}. \tag{70} \]

The longitudinal part of the reference orbit can be isolated via a canonical transformation

\[ F_2(\tilde{x}, \tilde{p}_x, \tilde{z}, \tilde{p}_z, \tau, \eta, s) = \tilde{x} \tilde{p}_x + \tilde{z} \tilde{p}_z + (\tau + s) \left( \eta + \frac{1}{\beta \gamma} \right), \tag{71} \]

\[ \sigma = \tau + s, \quad \eta = h - \frac{1}{\beta \gamma}, \tag{72} \]

where \( \sigma \) is the new longitudinal variable and \( \eta \) is the energy deviation with respect to the energy \( \gamma_e \) of the reference particle.

### 4 Dispersion and betatron motion

The (linear and higher order) dispersion can be introduced via a canonical transformation aimed at canceling the first order Hamiltonian \( \tilde{H}_1 \) in all orders of \( \eta \). The explicit form of the generating function is

\[ G_2(\tilde{x}, \tilde{p}_x, \tilde{z}, \tilde{p}_z, \sigma, \eta; s) = \sigma \eta + \tilde{x} \tilde{p}_x + \tilde{z} \tilde{p}_z + \frac{1}{2} \sum_{k=1}^{\infty} \left[ \tilde{x} \tilde{X}_k(s) - \tilde{p}_x \tilde{P}_k(s) + \tilde{S}_k(s) \right], \tag{73} \]

\[ \tilde{x} = \tilde{x} + \sum_{k=1}^{\infty} \tilde{q}_k \tilde{P}_k, \quad \tilde{p}_x = \tilde{p}_x + \sum_{k=1}^{\infty} \tilde{q}_k \tilde{X}_k, \tag{74} \]

\[ \sigma = \sigma + \sum_{k=1}^{\infty} k \tilde{q}^{k-1} \left( \tilde{p}_x \tilde{p}_x - \tilde{X}_k \right) - \sum_{k=1}^{\infty} k \tilde{q}^{k-1} \left( \tilde{S}_k + \tilde{X}_k \sum_{m=1}^{\infty} \tilde{q}_m \tilde{P}_m \right). \tag{75} \]
Equating terms of the form $\tilde{\mathcal{H}}_0$ and $\tilde{P}_0 \tilde{P}_0$ in the new transformed Hamiltonian, we determine order by order the conventional (first order) and higher order dispersions. The first order in $\tilde{\eta}$ (terms proportional to $\tilde{\mathcal{H}}_0$ and $\tilde{P}_0 \tilde{P}_0$) yields the well-known result

$$\mathcal{P}'_1 = \chi_1, \quad \mathcal{X}'_1 + (g_e + K^2)\mathcal{P}'_1 = K. \quad (76)$$

Since in the case, where betatron motion ($\bar{\xi} = 0, \tilde{p}_1 = 0$) can be neglected the new longitudinal coordinate $\tilde{\eta}$ should not depend on the new longitudinal canonical conjugate variable $\tilde{\eta}$, the second sum in equation (75) must be identically zero. We readily obtain $S_1 = 0$, and

$$S_2 = -\frac{X_1 \mathcal{P}_1}{2}. \quad (77)$$

In second order we have

$$\mathcal{P}'_2 = \chi_2 - \chi_1 + KX \mathcal{P}'_1, \quad (78)$$

$$Z \mathcal{X}'_2 + (g_e + K^2)\mathcal{P}'_2 = -K g_e \mathcal{P}'_2 - \frac{Kx_2}{2 \gamma_e^2}, \quad (79)$$

and in addition the function $S_3(z)$ is expressed as

$$S_3 = -\frac{1}{3} (X_1 \mathcal{P}_2 + 2X_2 \mathcal{P}_1). \quad (80)$$

Close inspection of equations (76), (78) and (79) shows that $\mathcal{P}_1$ is the well-known linear dispersion function, while $\mathcal{P}_2$ stands for a second order dispersion and so on. Up to third order a new Hamiltonian describing the longitudinal motion and the linear transverse motion acquires the form

$$\begin{align*}
H_0 &= -\frac{\tilde{\mathcal{K}}_1 \tilde{\eta}^2}{2} + \frac{\tilde{\mathcal{K}}_2 \tilde{\eta}^3}{3} + \frac{Z}{\mathcal{A} \beta^2 E} \left( \frac{d\Delta E}{ds} \right) \int d\tau \sin \phi(\tau), \\
H_1 &= \frac{1}{2} (\tilde{\mathcal{P}}_1^2 + P_1^2) + \frac{1}{2} \left[ (g_e + K^2) \tilde{\eta}^2 - g_e \tilde{\eta}^2 \right],
\end{align*} \quad (81)$$

where

$$\begin{align*}
\tilde{\mathcal{K}}_1 &= K \mathcal{P}_1 - \frac{1}{\gamma_e}, \\
\tilde{\mathcal{K}}_2 &= \frac{K \mathcal{P}_1}{\gamma_e} - K \mathcal{P}_2 - \frac{X_2^2}{2} - \frac{3}{2 \gamma_e^2}.
\end{align*} \quad (83)$$

For the sake of generality, let us consider a Hamiltonian of the type

$$\tilde{H}_0 = \sum_{u=1,2} \left[ \frac{\mathcal{T}_u}{2} \tilde{P}_u^2 + R_u \tilde{\mathcal{U}} \tilde{p}_u + G_u \tilde{\mathcal{U}}^2 \right]. \quad (84)$$

A generic Hamiltonian of the type (84) can be transformed to the normal form

$$\mathcal{H}_0 = \sum_{u=1,2} \mathcal{X}_u \left( \frac{\mathcal{P}_1^2}{2} + \mathcal{U}^2 \right). \quad (85)$$

by means of a canonical transformation specified by the generating function

$$\mathcal{F}_2 \left( \tilde{\mathcal{P}}_u, \tilde{\mathcal{P}}_1, \tilde{\mathcal{P}}_2; s \right) = \sum_{u=1,2} \left( \mathcal{U} \mathcal{P}_u - \alpha_u \mathcal{U} \right). \quad (86)$$

Here the prime implies differentiation with respect to the longitudinal variable $s$. The old and the new canonical variables are related through the expressions

$$\begin{align*}
\tilde{u} &= U \sqrt{\beta_u}, \\
\tilde{p}_u &= \frac{1}{\sqrt{\beta_u}} \left( \mathcal{P}_u - \alpha_u \mathcal{U} \right). \quad (87)
\end{align*}$$

The phase advance $\chi_u(s)$ and the generalized Twiss parameters $\alpha_u(s), \beta_u(s)$ and $\gamma_u(s)$ are defined as

$$\begin{align*}
\chi_u' &= \frac{d\chi_u}{ds} = \mathcal{F}_u \beta_u, \\
\alpha_u' &= \frac{d\alpha_u}{ds} = \mathcal{G}_u \beta_u - \mathcal{F}_u \gamma_u, \\
\beta_u' &= \frac{d\beta_u}{ds} = -2 \mathcal{F}_u \alpha_u + 2 \mathcal{R}_u \beta_u.
\end{align*} \quad (89)$$

The third Twiss parameter $\gamma_u(s)$ is introduced via the well-known expression

$$\beta_u \gamma_u - \alpha_u^2 = 1. \quad (91)$$

The corresponding betatron tunes are determined according to the expression

$$\nu_u = \frac{N_p}{2\pi} \int_0^{s+\nu_u} d\theta \mathcal{F}_u(\theta), \quad (92)$$

Typical dependence of the horizontal and vertical betatron tunes on energy in the EMMA non-scaling FFAG is shown in Figures 1 and 2.
It is worthwhile noting that the canonical transformation specified by the generating function (73) allowed us to cancel terms linear in the transverse canonical coordinates \( \vec{x} \) and \( \vec{p}_\perp \). In order to take a due account of the dependence of the longitudinal dynamics on the transverse one it is necessary to retain terms in the resulting Hamiltonian that are proportional to higher powers in \( \vec{p}_\perp \) and \( \vec{p}_\parallel \). Up to first order in \( \vec{p}_\parallel \), this gives rise to additional terms in the longitudinal Hamiltonian of the form

\[
\hat{H}_{\text{long}} = -\frac{\hat{\gamma}}{2} \left( \hat{p}_x^2 + \hat{p}_z^2 \right) - \frac{K_{\text{0}}}{2} \left( \hat{p}_x^2 + \hat{p}_z^2 \right) + \ldots .
\]

(93)

The lengthening of the time of flight for one period of the machine due to betatron oscillations can be expressed as

\[
\Delta \Theta = -\frac{1}{2\beta_c} \int s^L \, \mathrm{d}t \left[ 1 + K(\Theta) \, \hat{\Theta}(\Theta) \right] \left[ \hat{p}_x^2(\Theta) + \hat{p}_z^2(\Theta) \right].
\]

(94)

### 5 Acceleration in a non-scaling FFAG accelerator

The process of acceleration in a non-scaling FFAG accelerator can be studied by solving Hamilton’s equations of motion for the longitudinal degree of freedom. The latter are obtained from the Hamiltonian (43) supplemented by an additional term [similar to that in equation (57)], which takes into account the electric field of the RF cavities. They read as

\[
\frac{\mathrm{d}\Theta}{\mathrm{d}s} = -\frac{\gamma}{\sqrt{\beta^2 - P^2}},
\]

(95)

\[
\frac{\mathrm{d}y}{\mathrm{d}s} = -\frac{ZeU_c}{2AE_p} \sum_{k=1}^{N_c} \delta_p(s-s_k) \sin \left( \frac{\omega_c \Theta}{c} - \varphi_k \right).
\]

(96)

Here \( U_c \) is the cavity voltage, \( \omega_c \) is the RF frequency, \( N_c \) is the number of cavities and \( \varphi_k \) is the corresponding cavity phase.

One could use the results obtained in the previous section with the additional requirement that the phase slip coefficient \( K_{\text{0}} \), averaged over one period vanishes. Instead, we shall use an equivalent but more illustrative approach. The path length in a FFAG arc and therefore the time of flight \( \Theta \) is often well approximated as a quadratic function of energy. The acceleration process is then described by a longitudinal Hamiltonian, which contains terms proportional to the zero-order (conventional phase slip) factor and first-order phase slip factor. It usually suffices to take into account only terms to second order in the energy deviation

\[
\Theta = \Theta_0 + 2\mathcal{A}y - \mathcal{A}y^2,
\]

(97)

as suggested by Figure 3.

Here \( \gamma_m \) corresponds to the reference energy with a minimum time of flight. Provided the time of flight \( \Theta_0 \) at injection energy \( \gamma_i \) and the time of flight \( \Theta_m \) at reference energy \( \gamma_m \) are known, the constants entering equation (97) can be expressed as

\[
\mathcal{A} = \frac{\Theta_m - \Theta_i}{(\gamma_m - \gamma_i)^2}, \quad \Theta_0 = \Theta_m - \mathcal{A}y_m^2.
\]

(98)

Next, we pass to a new variable

\[
\tilde{\gamma} = \gamma - \gamma_m, \quad \tilde{\Theta} = \Theta - \mathcal{A}\tilde{\gamma}^2,
\]

(99)

similar to the variable \( \tilde{\gamma} \) introduced in the previous section. Then, Hamilton’s equation of motion (95) can be rewritten in an equivalent form

\[
\frac{\mathrm{d}\tilde{\Theta}}{\mathrm{d}s} = \frac{\Theta_m - \Theta_0}{\mathcal{A}L_p - \mathcal{A}L_p^2},
\]

(100)

In what follows, it is convenient to introduce a new phase \( \tilde{\varphi} \) and the azimuthal angle \( \theta \) along the machine circumference as an independent variable according to the relations

\[
\mathrm{d}s = R \, \mathrm{d}\Theta, \quad \tilde{\varphi} = \frac{\omega_c \Theta}{c}, \quad R = \frac{N_c L_p}{2\pi}.
\]

(101)
It is straightforward to verify (see the averaging procedure below) that the necessary condition to have acceleration is
\[
\frac{\omega J_i \Theta_m}{2\pi c} = h, \quad (102)
\]
where \( h \) is an integer (a harmonic number). Averaging Hamilton’s equations of motion
\[
\frac{d\vec{q}}{d\theta} = -h - ha\vec{r}^2, \quad a = \frac{\mathcal{A}}{\Theta_m},
\]
\[
\frac{d\vec{r}}{d\theta} = -\frac{ZeU_c}{2AE_p} \sum_{k=1}^{N} \delta_p(\theta - \theta_k) \sin (\vec{r} - \varphi_k),
\]
we rewrite them in a simpler form as
\[
\frac{d\varphi}{d\theta} = ha\vec{r}^2, \quad \frac{d\vec{r}}{d\theta} = \lambda \sin \varphi, \quad (105)
\]
where
\[
\varphi = -\vec{r} - h\theta + \psi_0, \quad \lambda = \frac{ZeU_c D}{4\pi AE_p},
\]
\[
D = \sqrt{\mathcal{A}_1^2 + \mathcal{A}_2^2}, \quad \psi_0 = \arctan \left( \frac{\mathcal{A}_1}{\mathcal{A}_2} \right).
\]

The equations of motion (105) can be written as
\[
H_0 = \frac{ha}{3} \gamma^3 + \lambda \cos \varphi. \quad (109)
\]

Since the Hamiltonian (109) is a constant of motion, the second Hamilton equation (105) can be written as
\[
\frac{d\vec{r}}{d\theta} = \pm \lambda \sqrt{1 - \frac{1}{12} \left( H_0 - \frac{ha}{3} \gamma^3 \right)^2}. \quad (110)
\]

Let us first consider the case of the central trajectory, for which \( H_0 = 0 \). It is of utmost importance for the so called gutter (or serpentine) acceleration. Equation (110) can be solved in a straightforward manner to give
\[
\theta = \frac{b}{J} \mathbf{F}_1 \left( \frac{1}{6}, \frac{1}{2}, \frac{7}{6}, \ J^6 \right) - \frac{C}{b}, \quad (111)
\]
where
\[
J = \sqrt{\frac{ha}{3}}, \quad b = \lambda \sqrt{\frac{ha}{3}}, \quad (112)
\]
\[
C = \mathbf{F}_1 \left( \frac{1}{6}, \frac{1}{2}, \frac{7}{6}, \ J^6 \right) J. \quad (113)
\]

In the above expressions \( \mathbf{F}_1 \left( \alpha; \beta; \gamma; \ x \right) \) denotes the Gauss hypergeometric function of the argument \( x \). This case is illustrated in Figure 4.

Fig. 4: An example of the so-called serpentine acceleration for the EMMA ring for the central trajectory, where the longitudinal \( H_0 = 0 \). The harmonic number is assumed to be 11, with the RF wavelength 0.405m. The parameter \( a \) from Eq. (103) is taken to be 2.686310^{-5}.

In the general case where \( H_0 \neq 0 \), we have
\[
\theta = \frac{J}{b} \mathbf{F}_1 \left( \frac{1}{3}, \frac{1}{2}, \frac{1}{2}, \frac{3}{3}, \ a, \ -\frac{j^3}{c} \right) - \frac{C_1}{b}, \quad (114)
\]
where
\[
a_1 = 1 + \frac{H_0}{\lambda}, \quad c = 1 - \frac{H_0}{\lambda}, \quad (115)
\]
\[
C_1 = \frac{J}{\sqrt{a_1 c}} \mathbf{F}_1 \left( \frac{1}{3}, \frac{1}{2}, \frac{1}{2}, \frac{3}{3}, \ a, \ -\frac{j^3}{c} \right). \quad (116)
\]

Here now, \( \mathbf{F}_1 \left( \alpha; \beta; \gamma; \ x \right) \) denotes the Gauss hypergeometric function of the arguments \( x \) and \( y \). The phase portrait corresponding to the general case for a variety of values of the longitudinal Hamiltonian \( H_0 \) is illustrated in Figure 5. The important question on whether the serpentine acceleration along the separatrix \( H_0 = 0 \) is stable is addressed in Appendix B.

A qualitative analysis of the fast serpentine acceleration has been presented earlier [11, 12]. However, to the best of our knowledge the results presented here comprise the first attempt to describe the process quantitatively. Although the exact solution is expressed in the form of standard and generalized hypergeometric functions, it can be easily incorporated in modern computational environments like Mathematica.

6 Concluding remarks

Based on the Hamiltonian formalism, the synchro-betatron approach for the description of the dynamics of particles in non-scaling FFAG machines has been developed. Its starting point is the specification of the static reference (closed) orbit for a fixed energy as a solution of the equations of motion in the machine reference frame. The problem of dynamical stability and acceleration is sequentially studied in the natural coordinate system associated with the reference orbit thus determined.
It has been further shown that the dependence of the path length on the energy deviation can be described in terms of higher order (nonlinear) dispersion functions. The method provides a systematic tool to determine the dispersion functions and their derivatives to every desired order, and represents a natural definition through constitutive equations for the resulting Twiss parameters.

The formulation thus developed has been applied to the electron FFAG machine EMMA. The transverse and longitudinal dynamics have been explored and an initial attempt is made at understanding the limits of longitudinal stability of such a machine.

Unlike the conventional synchronous acceleration, the acceleration process in FFAG accelerators is an asynchronous one in which the reference particle performs nonlinear oscillations around the crest of the RF waveform. To the best of our knowledge, it is the first time that such a fully analytic (quantitative) theory describing the acceleration in non-scaling FFAGs has been developed.

### A Calculation of the reference orbit

The explicit solutions of the linearized equations of motion (47) can be used to calculate approximately the reference orbit. To do so, we introduce a state vector

\[
Z_e = \begin{pmatrix} X_e \\ P_e \end{pmatrix}.
\]  

The effect of each lattice element can be represented in a simple form as

\[
Z_{\text{out}} = \hat{M}_i Z_{\text{in}} + A_{el}.
\]  

Here \(Z_{\text{in}}\) is the initial value of the state vector, while \(Z_{\text{out}}\) is its final value at the exit of the corresponding element. The transfer matrix \(\hat{M}_i\) and the shift vector \(A_{el}\) for various lattice elements are given as follows:

#### 1. Polygon Bend.

Within the approximation (46) considered here we can linearize the second of equations (56) and write

\[
\begin{align*}
\hat{M}_p &= \begin{pmatrix} 1/\cos \Theta_p & -X_e \tan \Theta_p / (\beta_e \gamma_e \cos \Theta_p) \\ 0 & 1/\cos^2 \Theta_p \end{pmatrix}, \\
A_p &= \begin{pmatrix} X_e (1 - 1/\cos \Theta_p) \\ \beta_e \gamma_e \tan \Theta_p \end{pmatrix}.
\end{align*}
\]  

#### 2. Drift Space.

\[
\begin{align*}
\hat{M}_D &= \begin{pmatrix} 1 & L_0 \beta_e \gamma_e \\ 0 & 1 \end{pmatrix}, \\
A_D &= 0,
\end{align*}
\]  

where \(L_0\) is the length of the drift. Every cell of the EMMA lattice includes a short drift of length \(L_0\) and a long one of length \(L_1\).

#### 3. Focusing Quadrupole.

The transfer matrix can be written in a straightforward manner as

\[
\begin{align*}
\hat{M}_F &= \begin{pmatrix} \cos (\omega_F L_F) & \sin (\omega_F L_F) / (\beta_e \gamma_e \omega_F) \\ -\beta_e \gamma_e \omega_F \sin (\omega_F L_F) & \cos (\omega_F L_F) \end{pmatrix}, \\
A_F &= \begin{pmatrix} (X_e + d_F) [1 - \cos (\omega_F L_F)] \\ \beta_e \gamma_e \omega_F (X_e + d_F) \sin (\omega_F L_F) \end{pmatrix}.
\end{align*}
\]  

where \(L_F\) is the length of the focusing quadrupole.

#### 4. Defocusing Quadrupole.

The transfer matrix in this case can be written in analogy to the above one as

\[
\begin{align*}
\hat{M}_D &= \begin{pmatrix} \cosh (\omega_D L_D) & \sinh (\omega_D L_D) / (\beta_e \gamma_e \omega_D) \\ \beta_e \gamma_e \omega_D \sinh (\omega_D L_D) & \cosh (\omega_D L_D) \end{pmatrix}, \\
A_D &= \begin{pmatrix} (X_e + d_D) [1 - \cosh (\omega_D L_D)] \\ -\beta_e \gamma_e \omega_D (X_e + d_D) \sinh (\omega_D L_D) \end{pmatrix}.
\end{align*}
\]  

where \(L_D\) is the length of the defocusing quadrupole.

Since the reference orbit must be a periodic function of \(s\) with period \(L_p\), it clearly satisfies the condition

\[
Z_{\text{out}} = Z_{\text{in}} = Z_e.
\]  

Thus, the equation for determining the reference orbit becomes

\[
Z_e = \hat{M}Z_e + A_e, \quad \text{or} \quad Z_e = (1 - \hat{M})^{-1} A_e.
\]

Here \(\hat{M}\) and \(A\) are the transfer matrix and the shift vector for one period, respectively. The inverse of the matrix \(1 - \hat{M}\)
The one period transfer matrix and shift vector can be written explicitly as

\[
\begin{align*}
M_{11} &= \frac{1}{c_p} \left[ c_p - \left( \frac{\omega_F}{\omega_D} - L_0 \omega_F s_D \right) s P + \frac{1}{c_p} \left( \frac{\omega_D}{\omega_P} \right) \left( \omega_F - L_1 \omega_F s_D \right) s D + \right. \\
&\left. \left( \omega_F - L_1 \omega_F s_D \right) s S \right], \\
M_{12} &= \frac{1}{\beta_\gamma c_p} \left[ \left( \frac{\omega_D}{\omega_P} \right) \frac{\omega_F}{D} X t p - L_1 \omega_F s D \right], \\
M_{21} &= \frac{1}{\beta_\gamma d_p} \left( \omega_D s D - \omega_P s D - \omega_D s D \right), \\
M_{22} &= \frac{1}{\beta_\gamma \omega_F} \left[ \left( \frac{\omega_D}{\omega_P} \right) \frac{\omega_F}{D} X t p - L_1 \omega_F s D \right], \\
A_1 &= X + d_F + \frac{1}{c_p} \left( \frac{\omega_D}{\omega_P} \right) \left( \omega_F - L_1 \omega_F s_D \right) s D + \right. \\
&\left. \left( \omega_F - L_1 \omega_F s_D \right) s S \right]
\end{align*}
\]

For the sake of brevity, the following notations

\[
c_p = \cos \Theta_p, \quad c_F = \cos (\omega_F L_F), \quad c_D = \cosh (\omega_D L_D),
\]

\[
t_p = \tan \Theta_p, \quad s_F = \sin (\omega_F L_F), \quad s_D = \sinh (\omega_D L_D),
\]

have been introduced in the final expressions for the components of the one period transfer matrix and shift vector.

**B Phase stability in FFAGs**

To study the stability of the serpentine acceleration in FFAG accelerators, we write the longitudinal Hamiltonian (109) in an equivalent form

\[
H_0 = \lambda \left( I^3 + \cos \varphi \right). \tag{137}
\]

Hamilton’s equations of motion can be written as

\[
\frac{d \varphi}{d \theta} = 3 b J^2, \quad \frac{d J}{d \theta} = b \sin \varphi. \tag{138}
\]

Let \( \varphi_0(\theta) \) and \( J_0(\theta) \) be the exact solution of equations (138) described already in Section V. Let us further denote by \( \varphi_1 \) and \( J_1 \) a small deviation about this solution such that \( \varphi = \varphi_0 + \varphi_1 \) and \( J = J_0 + J_1 \). Then, the linearized equations of motion governing the evolution of \( \varphi_1 \) and \( J_1 \) are

\[
\frac{d \varphi_1}{d \theta} = 6 b J_0 J_1, \quad \frac{d J_1}{d \theta} = b \varphi_1 \cos \varphi_0. \tag{139}
\]

The latter should be solved provided the constraint

\[
3 J_0^2 J_1 - \varphi_1 \sin \varphi_0 = 0, \tag{140}
\]

following from the Hamiltonian (137) holds. Differentiating the second of equations (139) with respect to \( \theta \) and eliminating \( \varphi_1 \), we obtain

\[
\frac{d^2 J_1}{d \theta^2} \left( 6 b J_0 J_1 + 15 b^2 J_0 J_1 \right) = 0. \tag{141}
\]
Next, we examine the case of separatrix acceleration with $H_0 = 0$. In Section V we showed that to a good accuracy the energy gain $[J_a(\theta) = b \theta + J_i]$ is linear in the azimuthal variable $\theta$. Therefore, equation (141) can be written as

$$\frac{d^2 J_1}{dJ_a^2} + 15J_a^3 J_1 = 0.$$ (142)

The latter possesses a simple solution of the form

$$J_1 = \sqrt{|J_a|} \left[C_1 J_1^{1/6} \left(\frac{5}{3} |J_a|^{3} \right) + C_2 J_1^{1/6} \left(\frac{5}{3} |J_a|^{3} \right) \right],$$ (143)

where $J_1(\theta)$ and $J_2(\theta)$ stand for the Bessel functions of the first and second kind, respectively. In addition the constants $C_1$ and $C_2$ should be determined taking into account the initial conditions

$$\frac{dJ_1(J_i)}{dJ_a} = \varphi_1(J_i) \cos \varphi_1, \quad J_1(J_i) = J_{1i}. \quad (144)$$

References